



Europäisches
Patentamt

European
Patent Office

Office européen
des brevets

2x

Bescheinigung

Certificate

Attestation



Die angehefteten Unterla-
gen stimmen mit der
ursprünglich eingereichten
Fassung der auf dem näch-
sten Blatt bezeichneten
europäischen Patentanmel-
dung überein.

The attached documents
are exact copies of the
European patent application
described on the following
page, as originally filed.

Les documents fixés à
cette attestation sont
conformes à la version
initialement déposée de
la demande de brevet
européen spécifiée à la
page suivante.

Patentanmeldung Nr. Patent application No. Demande de brevet n°

00108459.9

Der Präsident des Europäischen Patentamts;
Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets
p.o.

I.L.C. HATTEN-HECKMAN

DEN HAAG, DEN
THE HAGUE,
LA HAYE, LE

15/03/01



Europäisches
Patentamt

European
Patent Office

Office européen
des brevets

**Blatt 2 der Bescheinigung
Sheet 2 of the certificate
Page 2 de l'attestation**

Anmeldung Nr.:
Application no.:
Demande n°: 00108459.9

Anmeldetag:
Date of filing:
Date de dépôt: 18/04/00

Anmelder:
Applicant(s):
Demandeur(s):
Sony International (Europe) GmbH
10785 Berlin
GERMANY

Bezeichnung der Erfindung:
Title of the invention:
Titre de l'invention:
OFDM diversity transmission

In Anspruch genommene Priorität(en) / Priority(ies) claimed / Priorité(s) revendiquée(s)

Staat:
State:
Pays:

Tag:
Date:
Date:

Aktenzeichen:
File no.
Numéro de dépôt:

Internationale Patentklassifikation:
International Patent classification:
Classification internationale des brevets:
H04B7/06, H04L27/26

Am Anmeldetag benannte Vertragsstaaten:
Contracting states designated at date of filing: AT/BE/CH/CY/DE/DK/ES/FI/FR/GB/GR/IE/IT/LI/LU/MC/NL/PT/SE/TR
Etats contractants désignés lors du dépôt:

Bemerkungen:
Remarks:
Remarques:

18. April 2000

EP application

„OFDM Diversity Transmission“

Sony International (Europe) GmbH

PAE00-014TRDE

5 P23514EP

OFDM Diversity Transmission

10

The present invention relates to a transmission diversity device, to a method for a wireless transmission diversity transmission as well as to a computer program for performing such a method.

15 From EP-0 881 782 A2 a single carrier maximum-ratio synthetic transmission diversity device as shown in figure 8 is known. According to this known transmission diversity device antenna elements are arranged at intervals greater than $\lambda/2$. A signal received by an antenna element is sent by way of an antenna multiplexer to a receiver, where the signal is demodulated. The thus-demodulated signal is sent to a phase- and
20 power detection section, where a phase and power of the signal are detected. On the basis of the result of such detection, a control section calculated the phase and power of a transmission signal. On the basis of the result of the calculation, a transmission signal generation circuit transmits a transmission signal to each of the antenna elements by way of the antenna multiplexer.

25

Note that the technique of EP 0 881 782 A2 claiming the calculation of the phase of a signal of each antenna cannot be applied to the multicarrier case, but only to a single carrier case, as it is impossible to measure phases of received signals if there are more than two carriers.

30

In single carrier applications the phase of the signal changes frequently as the symbols are transmitted serially. Therefore it is difficult to compare phases between different antennas, as the phase is not varying uniformly. Therefore in single carrier applications a phase comparison is preferably done using pilot symbols which phases
35 are varying uniformly or which are known.

From US 5,973,642 adaptive antenna arrays for orthogonal frequency division multiplexing systems (OFDM systems) with co-channel interference is known. According to this known technique parameters for adaptive antenna arrays in OFDM

systems with co-channel interference are estimated. The channel parameter estimation is performed using a two pass process that advantageously expands the temporal scope and considers past, present and future temporal channel estimations during parameter estimation. Channel parameters are estimated by processing the signals through fast
5 Fourier transforms, temporal filters and inverse fast Fourier transforms. The temporal filters optimise parameters estimation based upon instantaneous correlation of the received signals. This all takes place on the receiver's side of the OFDM system.

In view of the above-captioned prior art it is the object of the present invention to
10 provide for a technique enabling the reduction of negative multipath effects by means of a transmission diversity technique, wherein said technique should be applicable to OFDM systems and particularly to transmitters of an OFDM system.

This object is achieved by means of the features of the independent claims. The
15 dependent claims develop further the central idea of the present invention.

According to the present invention therefore a transmission diversity device with a plurality of antenna elements is provided. A plurality of processing devices is provided which are respectively connected to one of the antenna elements. Phase comparison
20 and adjustment means are provided for comparing phases of signals received at one of the antenna elements and for adjusting the phases of signals transmitted by the antenna elements according to the result of the comparison. Insofar this technique is known from EP 0 881 782 A2 representing the closest prior art.

The transmission diversity device according to the present invention is characterised in that the transmission diversity device is designed for a multicarrier transmission such as an OFDM transmission. The transmission diversity device according to the present invention compares the phases of at least one subcarrier of the multicarrier transmission with the phase of at least one subcarrier of at least one other antenna element and
30 adjusts it subsequently for a transmission. In the OFDM case the symbol duration is much longer than in the single carrier case such that a phase comparison can be done at any symbol and pilot symbols are not necessary therefore.

According to the present invention subcarriers and not the received signal itself are to
35 phase processed.

The transmission diversity device according to the present invention can comprise a subcarrier phase comparison dependent amplitude adjustment function.

Furthermore, it can comprise a function of averaging the phase differences of a plurality of subcarriers respectively received at one antenna element. Note that in the case of a multicarrier transmission system, each of the antenna elements receives a plurality of signal with different subcarriers.

5

The transmission diversity device according to the present invention can furthermore comprise the function of frequency adjusting the phase differences of the subcarriers received respectively at one antenna means.

- 10 Furthermore, the transmission diversity device according to the present invention can comprise the function of comparing only predetermined selected subcarriers of different antenna means.

- 15 According to a further aspect of the present invention a method for a wireless diversity transmission by means of a plurality of antenna elements and plurality of processing devices respectively connected to one of the antenna elements is proposed. Phases of signals received at the antenna elements are compared and adjusted according to the result of the comparison for a subsequent transmission by means of the antenna elements.

20

According to the present invention the phase of at least one subcarrier of a multicarrier transmission for each antenna element is compared with the phase of at least one subcarrier of at least one other antenna element and adjusted subsequently for transmission.

25

The step of comparing can be repeated at least twice to calculate an average value of the phase comparison used for the step of adjusting the phases for the subsequent transmission.

- 30 The multicarrier transmission can be an OFDM transmission.

Furthermore, the step of amplitude adjustment depending on the subcarrier phase comparison can be provided.

- 35 The phase differences of a plurality of subcarriers respectively received at one antenna element can be averaged.

The phase differences of the subcarriers received respectively at one antenna element can be frequency adjusted before being compared.

The comparison can be performed with only selected predetermined subcarriers of different antenna elements.

- 5 The step of comparing can comprise the step of correlating time domain data.

In case it is detected that any of the antenna elements no signal or a signal with an amplitude below a predetermined threshold is received, said antenna element is not used for a subsequent transmission.

10

The method can be applied in the base station of a wireless transmission system.

- 15 According to a still further aspect of the present invention a computer program for performing, when loaded in a memory of a transmission diversity device, such a method is proposed.

- 20 Further advantages, features and objects of the present invention will become evident for the man skilled in the art when reading the following detailed description of embodiments of the present invention to be taken with reference to the figures of the enclosed drawings.

Figure 1 shows schematically a TX diversity system,

- 25 Figure 2 shows a representation of phase differences of subcarriers originating from different antennas,

Figure 3 shows a time domain representation of an OFDM signal received at different antennas,

- 30 Figure 4 shows a symmetrical representation of the path difference between respectively one of a plurality of antenna elements of a transmitter and the receiver,

- 35 Figure 5 shows the details of the subcarrier processing in a transmitter according to the present invention,

Figure 6 shows a first alternative for adjusting a transmission,

Figure 7 shows a second alternative for phase adjusting a subcarrier, and

Figure 8 shows a TX diversity receiver according to the prior art.

Figure 1 shows a transmission diversity transmission system with a transmitter 1 having a plurality of antenna elements 2, 3. Each of the antenna elements 2, 3 is transmitting by means of a multicarrier transmission technique 4, 5 to a receiver 6 having an antenna 7. As it is well known, the receiver 6 will receive the different signals 4, 5 originating from the distance antenna elements 2, 3 of the transmitter 1 with a phase difference as shown in figure 2. Particularly, as the invention is related to multicarrier transmission systems, the corresponding subcarriers of the multicarrier transmission system will be phase shifted as shown in figure 2.

Figure 3 shows the corresponding representation for the time domain OFDM signal.

As it is shown schematically in figure 4, when transmitting, the different subcarriers should be adjusted such that they arrive at the antenna 7 of the receiver 6 without any relative phase shift.

The TX diversity technique is used to avoid the problem of fading for example due to multipath effects. According to the present invention, as it will be explained with reference to figure 5, a phase and/or amplitude adjustment is calculated in transmitter side such that no orthogonal signalling is required. The number of antenna means 2, 3 can be increased as much as possible on the transmitter side to get a sharper beam (beam shaping).

As shown in figure 5, the signals received at the different antenna means 2, 3 are respectively down converted 23 and then A/D converted 24. After effecting a Fourier transform 8 the N symbol vectors of the OFDM signals from the different antennas are combined to a matrix 9.

On the one hand this matrix comprising each symbol from each antenna is passed to a phase comparison unit 10 for a phase comparison of each subcarrier from the antenna means 2, 3. Therefore, a matrix of relative phases of each subcarrier in comparison to the base antenna is generated and supplied to a phase difference adjustment unit 11. The base antenna is selected to express the phase difference between another antenna and said base antenna.

The phase difference adjustment unit 11 adjusts the phases of the subcarriers to compensate for the frequency difference of each subcarrier. Finally, the subcarrier

phase differences are averaged 12, such that the nominal phase difference (or relative delay) of each antenna in comparison to one selected base antenna is generated. This nominal phase difference (or relative delay) of each antenna with respect to one selected base antenna is then used for a subsequent transmission, as will be explained with reference to figure 6 and 7.

The matrix generated in the unit 9 is furthermore phase adjusted in a unit 13 to compensate for the frequency difference of the subcarriers. The unit 13 is phase adjusting to compensate for the delay difference between the antenna means 2, 3. The unit 13 therefore aligns the phase of each antenna to that of the base antenna, keeping the relative phase between the subcarriers within one OFDM signal from one antenna. This corresponds to a receiver diversity in the base station. If the delay difference between antennas is large, the frequency difference between the subcarriers has to be considered when phase compensating. If the delay difference is not so important, the phase of the subcarriers of each antenna can be uniformly compensated.

In a calculation unit 14 the phases are averaged (or summed up) over the different antennas and then each subcarrier, i.e. the averaged sum up result is demodulated in a demodulating unit 15. The demodulated sequence is then sent to a channel decoder (not shown).

The following mathematical representation shows the vectors and the matrixes for the processing according to figure 5:

$$25 \quad \begin{pmatrix} s_1 \\ s_2 \\ \vdots \\ s_N \end{pmatrix}, \begin{pmatrix} s_{1,1} & s_{1,2} & \dots & s_{1,Q} \\ s_{2,1} & s_{2,2} & \dots & s_{2,Q} \\ \vdots & \vdots & \ddots & \vdots \\ s_{N,1} & s_{N,2} & \dots & s_{N,Q} \end{pmatrix}, \begin{pmatrix} p_{1,1} & p_{1,2} & \dots & 0 \\ p_{2,1} & p_{2,2} & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ p_{N,1} & p_{N,2} & \dots & 0 \end{pmatrix}, \begin{pmatrix} p'_{1,1} & p'_{1,2} & \dots & 0 \\ p'_{2,1} & p'_{2,2} & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ p'_{N,1} & p'_{N,2} & \dots & 0 \end{pmatrix}, [p_1 p_2 \dots 0], [d_1 d_2 \dots 0]$$

s_N : constellation vector of each subcarrier

$s_{\text{sub-carrier, antenna}}$: constellation of each subcarrier and antenna

$p_{\text{sub-carrier, antenna}}$: relative phase difference at each subcarrier from base antenna

30 $p'_{\text{sub-carrier, antenna}}$: adjusted phase difference to nominal frequency (center frequency)

p'_{antenna} : averaged phase difference from base antenna at nominal frequency

d_{antenna} : delay of each antenna from base antenna

35 Figure 6 shows a transmission following the phase comparison process as shown in figure 5.

The digital baseband signal after the IFFT processing is D/A converted 16, then passed through an converter 17 and finally supplied to the different antennas by means of a time delay unit 18. The time delay units 18 apply a time delay corresponding to the nominal phase differences output from the average unit 12 (see figure 5). According to the alternative of figure 6 therefore a time delay is applied to the up converted signal.

According to the alternative of figure 7 the symbol vector of each subcarrier symbol is respectively phase adjusted by means of a phase adjusting unit 19 corresponding to the output of the average unit 12 (see figure 5). Each phase adjusted symbol vector of each subcarrier symbol is then passed through a IFFT unit 20, a D/A converter 21, an up converter 22 and finally supplied to the corresponding one of the plurality of antenna elements.

The processing according to the present invention can therefore be described as follows:

At the base side then a relative phase comparison of each antenna element is performed using the up link signal.

This phase comparison can be carried out according to different methods.

According to a first proposal phases between different antenna elements are compared by averaging each subcarrier phase difference. Alternatively, selected (reliable) sub-carriers can be phase compared. As a further alternative time domain received data can be correlated and the phase difference can then be calculated by multiplying the correlation result by $2 \cdot \pi \cdot f_c$, wherein f_c is the carrier frequency. f_c in principal is different for each subcarrier, however for most of the cases f_c can be a representative frequency or centre frequency. (The phase difference adjustment unit 11 can compensate for this problem.)

Before averaging, the phase at each subcarrier are frequency adjusted to compensate for the frequency differences of the subcarriers. A base subcarrier can be selected, which can be the centre subcarrier or any subcarrier representing an OFDM symbol. All phase differences and amplitude differences are then measured relative to this base subcarrier.

The next time the base is transmitting, the phase of the signal at each antenna element is adjusted such that the terminal 6 receives every signal with the same phase. This corresponds to the adjustment of the transmission timing at each antenna means.

The next time the base 1 receives, the phases of the signals can be adjusted again. If there is a memory provided in the base 1, the phase adjustment in the receiver side can be done in the same OFDM symbol. Then a new phase difference is calculated for a following transmission.

5

Optionally, the adjustment value can be averaged several times to get a more reliable value. If one (or a plurality) of antenna elements of the base 1 cannot receive the up link signal or not with an amplitude higher than the predetermined threshold (for example due to fading), the concerned antenna elements are not used for the down link transmission.

10

In addition to the phase comparison an amplitude adjustment at each antenna means responding to the result of the phase comparison is possible. It is to be noted that the above process can be effected on both sides of the wireless transmission links, i.e. both on the base 1 and the terminal 6.

15

The present invention has the advantage that the terminal (mobile side) does not necessarily have to measure each path from different antennas. Therefore, no orthogonal signalling for each channel is required. As the number of antennas at the base 1 is not limited by the number of orthogonal signals available (as no orthogonal coding is needed), the number of antenna elements at the base 1 can be increased in principle without limits. Therefore, the antennas can be configured to represent adaptive array antennas such that sharper beam is possible. Therefore, the transmitting power on both sides can be reduced and both fading and interference can be reduced.

20

18. April 2000

EP Application

"OFDM Diversity Transmission"

Sony International (Europe) GmbH

PAE00-014 TRDE

5 P 23514

Claims:

10

1. Transmission diversity device, having:

- a plurality of antenna elements (2,3),
- a plurality of processing devices respectively connected to one of the antenna elements (2, 3), and

15

- phase comparison and adjustment means (10, 19) for comparing phases of signals received at the antenna elements (2, 3) and for adjusting the phases of signals transmitted by the antenna elements (2, 3) according to the result of the comparison (10),

characterized in that

20

the transmission diversity device (1) is designed for a multicarrier transmission (4, 5) and compares the phases of at least one subcarrier of the multicarrier transmission with the phase of at least one subcarrier of at least one other antenna element (2,3) and adjusts (19) it subsequently for a transmission.

25

2. Transmission diversity device according to claim 1,
characterized in that
it is designed for a OFDM transmission.

30

3. Transmission diversity device according to anyone of the preceding claims,
characterized in that
it comprises a subcarrier phase comparison dependent amplitude adjustment function.

4. Transmission diversity device according to anyone of the preceding claims, characterized in that

it comprises a function of averaging (12) the phase differences of a plurality of subcarriers respectively received at one antenna element (2, 3).

5

5. Transmission diversity device according to anyone of the preceding claims, characterized in that

it comprises the function of frequency adjusting (11) the phase differences of the subcarriers received respectively at one antenna element (2, 3).

10

6. Transmission diversity device according to anyone of the claims 1 to 3, characterized in that

it comprises the function of comparing (10) only predetermined subcarriers of different antenna elements (2, 3).

15

7. Method for a wireless transmission diversity transmission by means of a plurality of antenna elements (2, 3) and a plurality of processing devices respectively connected to one of the antenna elements (2, 3), comprising the steps of

20 - phase comparison (10) of phases of a signal received at the antenna elements (2, 3) and adjustment (19) of the phases of signals to be transmitted by the antenna elements (2, 3) according to the result of the comparison (10), characterized by the steps of

25 - comparing (10) the phase of at least one subcarrier of a multicarrier transmission for each antenna element (2, 3) with the phase of at least one subcarrier of at least one other antenna element and
- adjusting (19) it subsequently for a transmission..

8. Method according to claim 7,

30 characterized in that

the step of comparing (10) is repeated at least twice to calculate an average value used for the step of adjusting (19).

9. Method according to claim 7 or 8,
characterized in that
the multicarrier transmission is a OFDM transmission.
- 5 10. Method according to anyone of claims 7 to 9,
characterized by
the step of amplitude adjustment depending on the subcarrier phase comparison.
- 10 11. Method according to anyone of claims 7 to 10,
characterized by
the step of averaging (12) the phase differences of a plurality of subcarriers respectively
received at one antenna element (2, 3).
- 15 12. Method according to anyone of claims 7 to 11,
characterized by
the step of frequency adjusting (11) the phase differences of the subcarriers received
respectively at one antenna elements (2, 3).
- 20 13. Method according to anyone of claims 7 to 10,
characterized by
the step of comparing (10) only predetermined subcarriers of different antenna elements
(2, 3).
- 25 14. Method according to anyone of claims 7 to 10,
characterized that
the step of comparing (10) comprises the step of correlating the time domain data.
- 30 15. Method according to anyone of claims 7 to 14,
characterized that
in case it is detected that at any of the antenna elements (2, 3) no signal or a signal with
an amplitude below a predetermined threshold is received, said antenna element (2,3) is
not used for a transmission.

16. Method according to anyone of claims 7 to 15,
characterized that
it is only applied in the base station of a wireless transmission system.

5

17. Computer program performing, when loaded in a memory of a transmission
diversity device, a method according to anyone of claims 7 to 16.

18. April 2000

EP application
„OFDM Diversity Transmission“
Sony International (Europe) GmbH
PAE00-014TRDE

5 P23514EP

Abstract

- 10 In an OFDM transmission a transmission diversity technique is used without orthogonal signalling. The phases of the subcarriers received at the different antenna means (2, 3) are compared by different techniques and then the phases of the signals for different antenna means (2, 3) are adjusted (19) for a subsequent transmission over the OFDM interface. The phase (and optionally amplitude) adjustment is calculated in only one
- 15 (transmitting) side and no orthogonal signalling is required. The number of antenna means (2, 3) can be increased as much as necessary to get a sharper beam. The negative effects of fading and interference can be reduced so that on the same time a down link transmission power can be reduced.
- 20 (figure 5)

1/15

EPO - Munich
6

18. April 2000

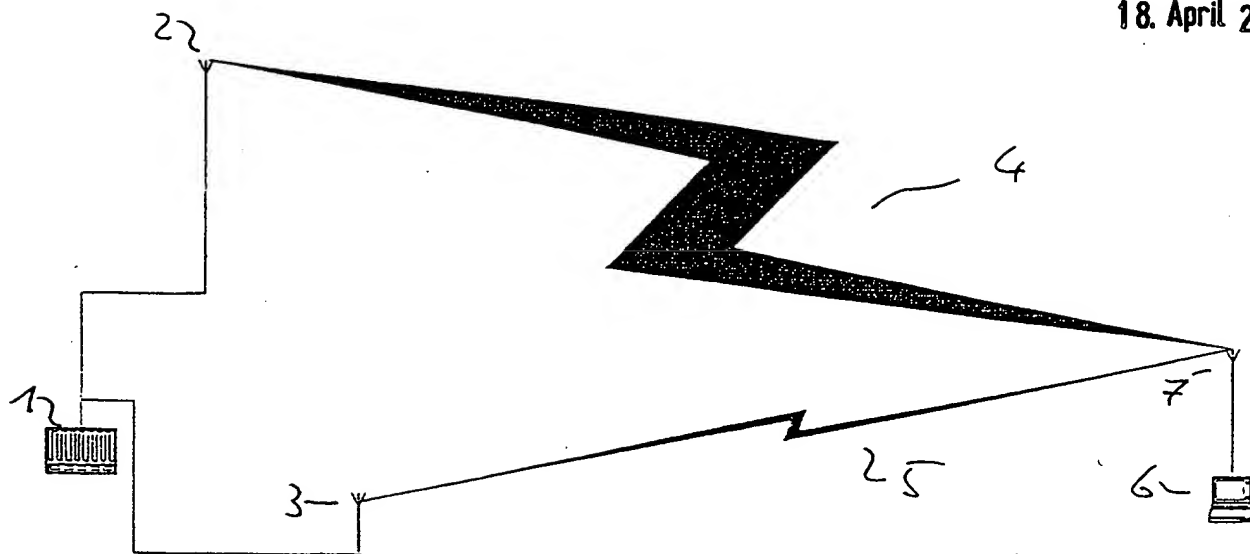


Fig. 1

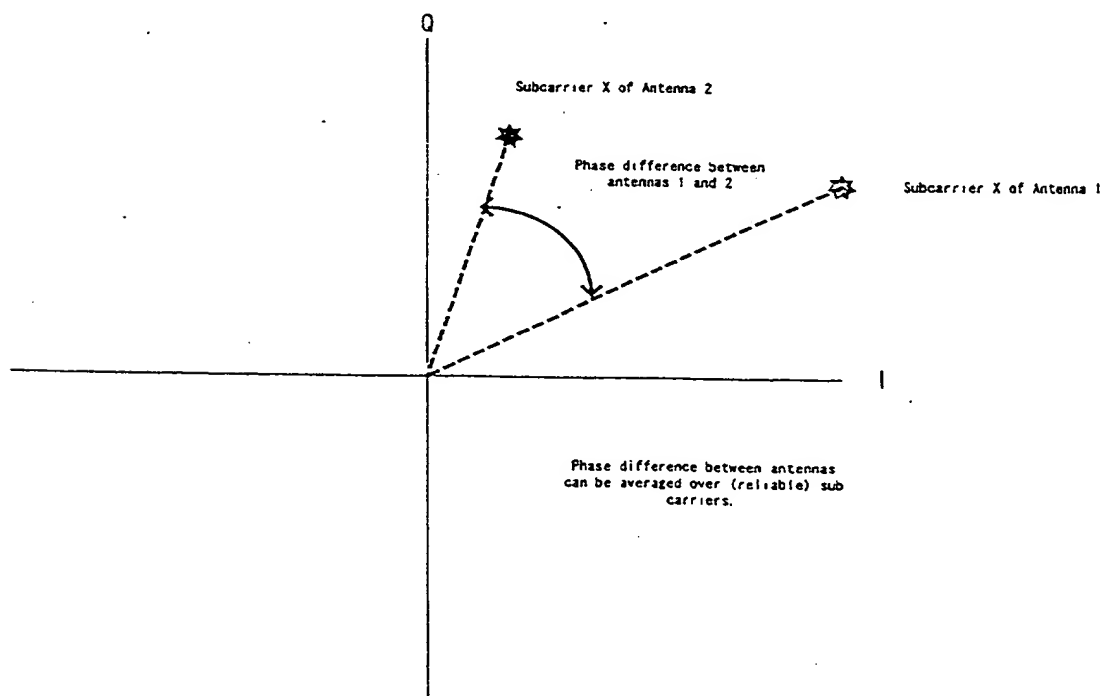
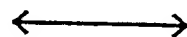
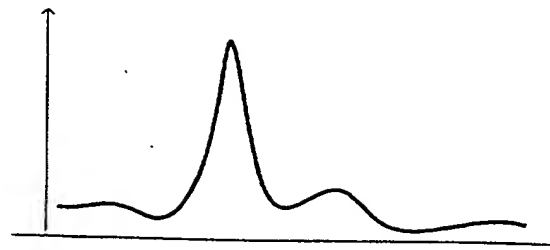


Fig. 2

Time domain received
OFDM signal

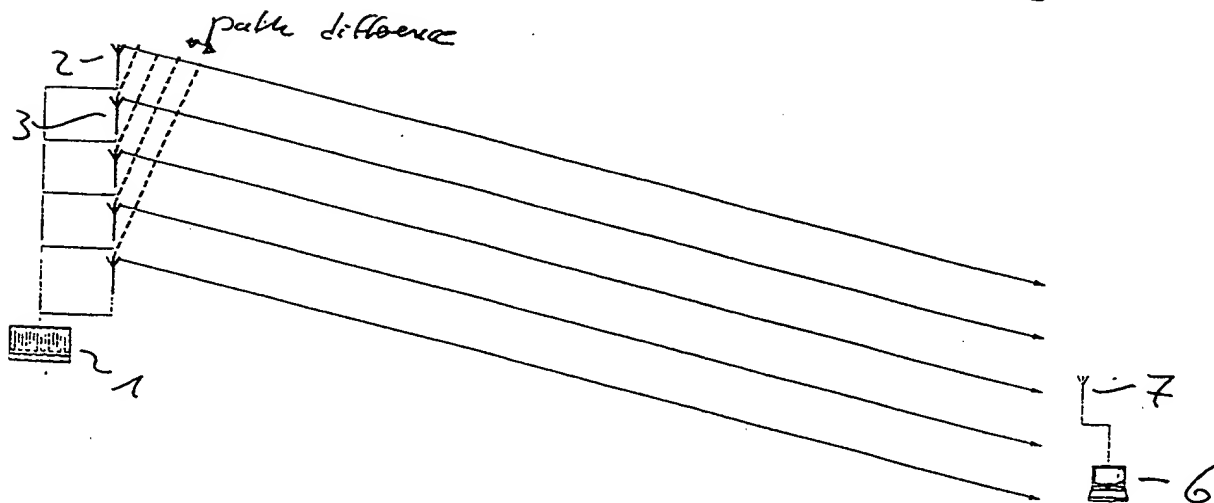
5



d: delay between antenna
1 and 2

$$\text{Phase diff.} = 2\pi d/T$$

Fig. 3



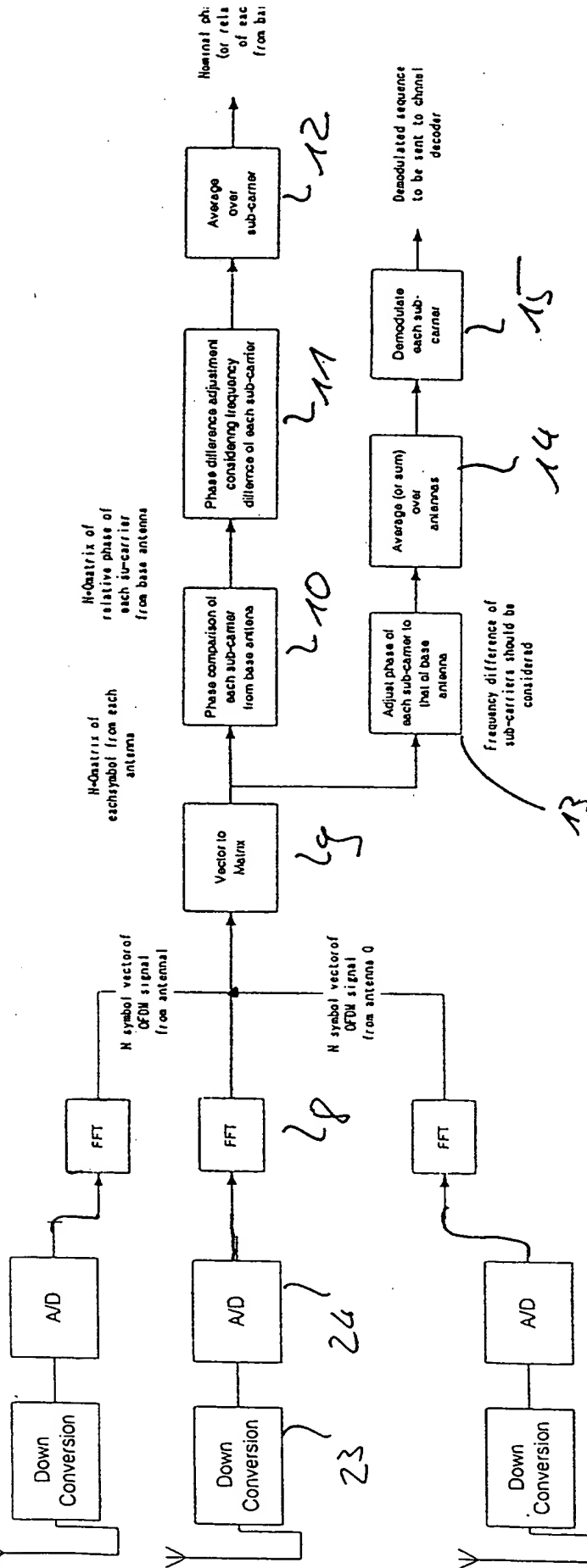


Fig. 5

4/5

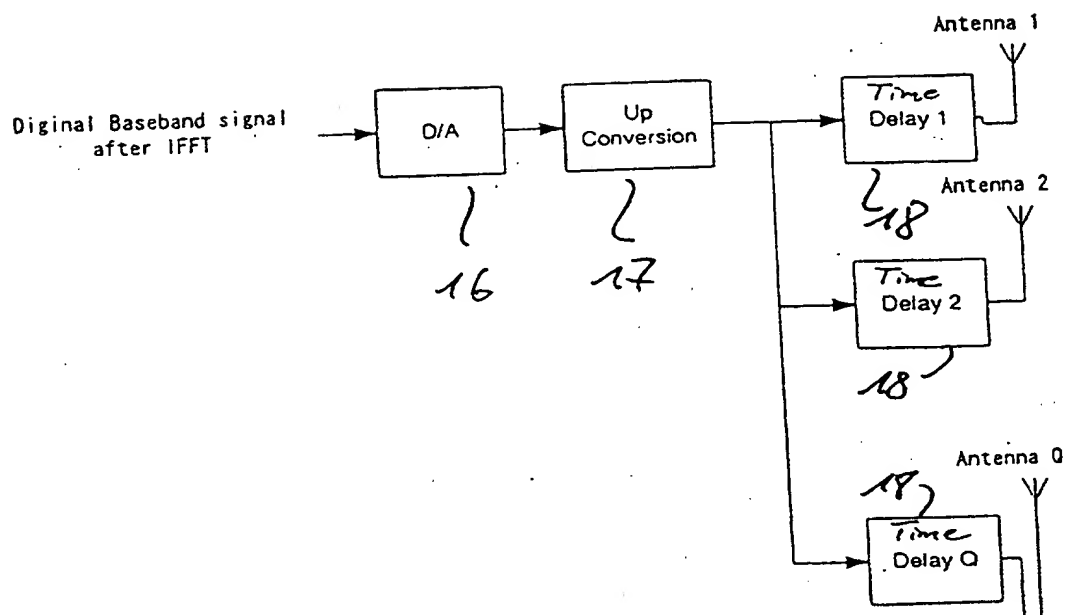


Fig. 6

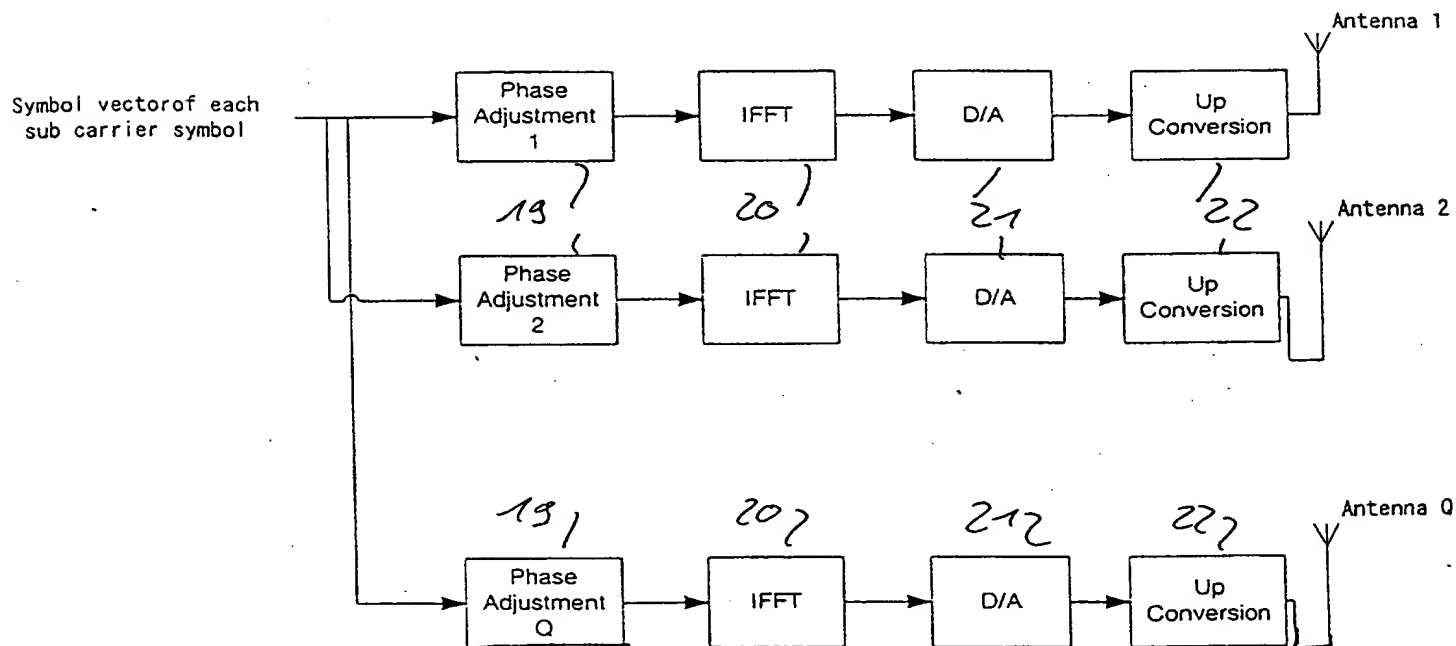
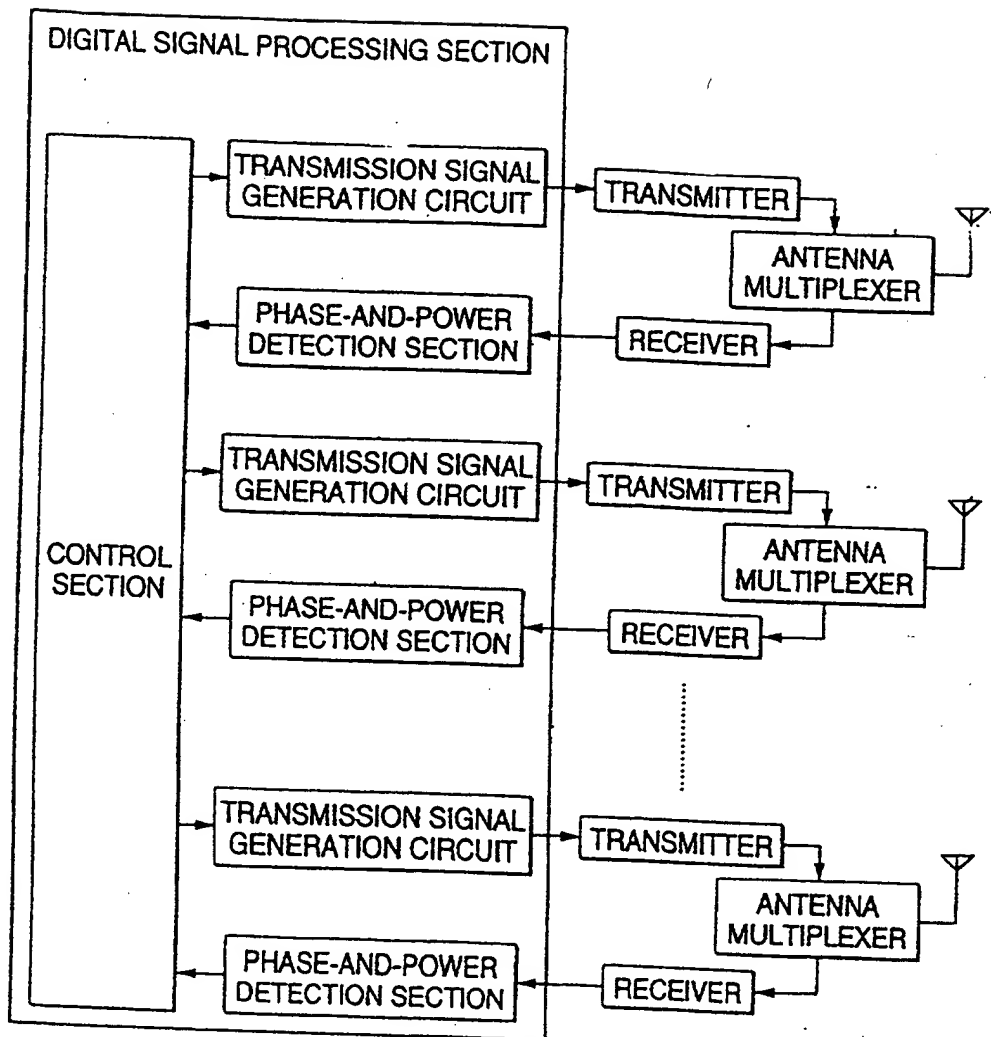


Fig. 7

2/5



State of the Art

Fig. 8